



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/469,480 12/22/99 SAEKI

RCA87619A

026270214

JOSEPH S TRIPOLI
THOMSON MULTIMEDIA LICENSING INC
PATENT OPERATION
TWO INDEPENDENCE WAY P O BOX 5312
PRINCETON NJ 08543-5312



2712

DATE MAILED:

02/14/00

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130.00

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

09469480 070832 00000002 070832 03/16/2000 WABHOL 01EC:105 130.00 CH

PATENT
RCA 87619A

secret #4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Tomoki Saeki
Serial No. : 09/469,480
Filed : December 22, 1999
For : DIGITAL VCR WITH TRICK PLAY MODE

**FILING OF MISSING PARTS OF APPLICATION -
WITH AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT**

Hon. Assistant Commissioner for Patents
Washington, D.C. 20231
ATTN: Box Missing Parts

Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(d), mailed 2/14/00 and relating to the above-identified application Serial No. 09/469,480 Applicant hereby submits the following and authorize the following charges:

- 1) An executed Declaration and Powers of Attorney.
- 2) A surcharge of \$130.00 required under 37 CFR 1.16(e) for filing the Declaration and basic filing fee on a date later than the filing date of the application with Fee Transmittal Form.
- 3) Copy of Filing Receipt with corrections to be made indicated thereon (with copy of "CLAIM OF PRIORITY" attached.
- 4) Information Disclosure Statement with references attached.
- 5) A copy of Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533).

Please charge the cost of the basic filing fee, surcharge, assignment recordation fee and extension of time to Deposit Account No. 07-0832. A duplicate copy of this letter is enclosed for use in charging the deposit account.

Respectfully Submitted
Tomoki Saeki

BY:

Francis A. Davenport
Francis A. Davenport
Registration No. 36,316
(609) 734-9864

THOMSON multimedia Licensing Inc.
PO Box 5312
Princeton, NJ 08543-5312
March 9, 2000

Certificate of Mailing Under 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature:

F. A. Davenport

Date:

March 9th 2000

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$130.00)

Complete if Known

Application Number 09/469,480
Filing Date Dec. 22, 1999
First Named Inventor Tomoki Saeki
Examiner Name
Group / Art Unit 2712
Attorney Docket No. RCA 87619A

MAR 13 2000

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 07-0832

Deposit Account Name THOMSON multimedia
Licensing Inc.

- ☒ Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** =	X	
Multiple Dependent	-3** =	X	

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 380	216 190	Extension for reply within second month	
117 870	217 435	Extension for reply within third month	
118 1,360	218 680	Extension for reply within fourth month	
128 1,850	228 925	Extension for reply within fifth month	
119 300	219 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,210	241 605	Petition to revive - unintentional	
142 1,210	242 605	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$130.00)

SUBMITTED BY

Name (Print/Type)	Registration No. (Attorney/Agent)	Telephone
Francis A. Davenport	36,316	609-734-9864
Signature	Date	
Francis A. Davenport	March 9, 2000	

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FILING RECEIPT

DATA BASE
FEB 16 2000

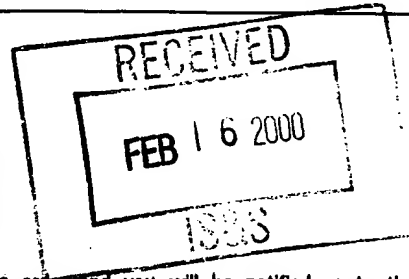
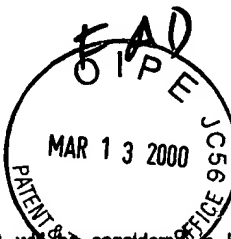


UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

87619A

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/469,480	12/22/99	2712	\$760.00	RCA87619A	19	12	2

JOSEPH S. TRIPOLI
THOMSON MULTIMEDIA LICENSING INC
PATENT OPERATION
TWO INDEPENDENCE WAY P O BOX 5312
PRINCETON NJ 08543-5312



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) TOMOKI SAEKI, RESIDENCE NOT PROVIDED.

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 02/11/00
TITLE
DIGITAL VCR WITH TRICK PLAY MODE

007R

PRELIMINARY CLASS: 386

PLEASE MAKE THE CORRECTIONS AS INDICATED BELOW:

Applicant: TOMOKI SAEKI, Yokohama City, Japan

PRIORITIES CLAIMED:

Priority is claimed under 35 USC §119 for prior applications:

GB 9407283.2 April 13, 1994

GB 9407287.3 April 13, 1994

GB 9410309.0 May 23, 1994

PCT/IB95/00229 April 3, 1995

Priority is claimed under 34 USC §120:

Serial No. 08/722,192

DATA ENTRY BY: LADRINGAN, JUDITH TEAM: 06 DATE: 02/11/00

(See reverse for new important information)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : T. Saeki
Serial No. : 09/469,480
Filed : December 22, 1999
For : DIGITAL VCR WITH TRICK PLAY MODE
Art Unit : 2712
Examiner :

CLAIM OF PRIORITY

Hon. Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Priority is claimed under 35 USC §119 for prior applications:-

GB 9407283.2	April 13 1994
GB 9407287.3	April 13 1994
GB 9410309.0	May 23 1994
PCT/IB95/00229	April 3 1995

Priority is claimed under 35 USC §120-
Serial No. 08/722,192

Respectfully submitted
T. Saeki

02/23/00

By: Francis A. Davenport
Francis A. Davenport
Reg. No. 36,316
(609)-734-9864

Patent Operations
Thomson Multimedia Licensing, Inc.
PO. Box 5312,
Princeton,
NJ 08543-0028

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a postage paid envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date indicated below.

Signature: Francis A. Davenport Date: February 23, 2000